

Circular No.: NSDL/POLICY/2021/0113

November 12, 2021

**Subject: Release of feature for Pledgor DP's confirmation on SPEED-e facility for instructions in respect of Digital LAS**

All Participants are hereby informed that SPEED-e facility has been enhanced to facilitate Participants of Pledgor Clients to confirm the pledge instructions captured/submitted by their Pledgor clients on NSDL's Digital LAS platform. In this regard, Participants are requested to take note of the process mentioned in the enclosed Annexure to confirm such pledge requests.

For information / clarifications, Participants can contact the following officials.

Name of the Official	Telephone No./Mobile No	Email Address
Ms. Richi Savla	(022) 2499 4544 / 8080506500	richis@nsdl.co.in
Mr. Narayan Venkat	(022) 2499 4479 / 9819219486	narayanv@nsdl.co.in

For and on behalf of

**National Securities Depository Limited****Chirag Shah  
Senior Manager**

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (April - September)	November 15th every year	Through e-PASS	Circular No NSDL/POLICY/2021/0048 dated May 11, 2021

## Pledgor DP user guide for approving Digital LAS instructions submitted by pledgor clients on NSDL SPEED-e facility

1. User of Pledgor DP will login into NSDL SPEED-e with existing login credentials. User will be required to click on '**Digital TPLAS**' (*highlighted*) for confirming the request captured/submitted by their Client (Pledgor).

The screenshot shows the NSDL SPEED-e web portal. The left sidebar contains a menu with the following items: Authorize, Maintain/Register, Maintain Users, Maintain DP Holidays, DP User Admin, and Digital TPLAS. The 'Digital TPLAS' link is highlighted with a red arrow. The main content area displays a welcome message for 'ADMIN USER' and a table of contact persons.

Contact Person	Direct No.	Email ID
Mr. Sumit Nimbalkar	(022) - 2499 4364	sumitr@nsdl.co.in
Mr. VinayKumar Singh	(022) - 2499 4355	vinaykumars@nsdl.co.in
Mr. Aniket Tambe	(022) - 2499 4422	anikett@nsdl.co.in
Mr. Amit Chindarkar	(022) - 2421 5477	amite@nsdl.co.in

2. User of Pledgor DP on clicking the 'Digital TPLAS' link will be able to view the requisite instructions which are in status '**Pending for Pledgor DP Confirmation**' under the tab 'Pledge Confirmation'. The instructions displayed by default will be of the current date. Further, Participants are requested to note that in case the instructions are not confirmed by Pledgor DP till EOD deadline of execution date, then the instruction(s) shall be automatically rejected.

The screenshot shows the NSDL DP SERVICES interface. The 'Pledge Confirmation' tab is selected, and a table of instructions is displayed. The status 'Pending for Pledgor DP Confirmation' is highlighted with a red arrow.

LAS Request ID	Pledgor Client ID	Pledge DP ID	Pledge Client ID	Execution Date	Status
1000770	First Holder Name(10101985)	IN006164	First Holder Name(10003891)	2021-09-03	Pending for Pledgor DP Confirmation

- The User of the Pledgor DP can also click on 'Advance Search' radio button and enter the date range/LAS request ID/Pledgor Client ID/Pledgee DP ID/Pledgee Client ID to get/fetch instruction transactional details submitted / captured by their Client:

The screenshot shows the 'Third Party LAS' interface. The 'Advance Search' radio button is selected and highlighted with a red arrow. Below it are input fields for 'From Date' (27/08/2021), 'To Date' (DD/MM/YYYY), 'LAS Request ID', 'Pledgor Client ID', 'Pledgee DP ID', and 'Pledgee Client ID'. A 'Search' button is visible. Below the search area is a table with the following data:

LAS Request ID	Pledgor Client ID	Pledgee DP ID	Pledgee Client ID	Execution Date	Status
1000752	Holder Name(10101985)	IN006164	First Holder Name(10003891)	2021-08-27	Partially processed by DP
1000754	First Holder Name(10101944)	IN006164	First Holder Name(10003891)	2021-08-30	Processed

- The User of Pledgor DP can then click on 'LAS Request ID' to view and confirm the pledge details.

The screenshot shows the 'PLEDGE CONFIRMATION' interface. It displays details for LAS Request ID 1000770, including Pledgee DP ID (IN006164), Pledgor Client (First Holder Name(10101985)), and LAS Request Status (Pending for Pledgor DP Confirmation). There is an 'Auto-Release' checkbox which is currently unchecked. Below this is a table of securities to be pledged:

ISIN	Scrip Name	Quantity to be Pledged	Status
INE019C01026	HIMADRI CHEM. DHARMIK DAGLI EQUITY TESTING	1	Pending for Pledgor DP Confirmation
INE022I01019	ASIAN GRANITO INDIA LIMITED EQ	1	Pending for Pledgor DP Confirmation

At the bottom, there are navigation buttons: 'Back', 'Approve All', and 'Reject All'.

- Pledgor DP will have to confirm the instruction manually each time or set the flag Auto Release flag as 'Direct' so that such instruction(s) are not required to be manually confirmed each time. Hence, in case the flag named 'Auto-Release' checkbox is selected and enabled by the User of the Pledgor DP, then all the subsequent instructions will be automatically confirmed, without the need to manually confirm each of the instructions

of the Client. However, TPLAS related instruction(s) that are submitted by client for the first time will always be required to be confirmed manually by the User of the Pledgor DP.

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DP user 3

Third Party LAS

Authorize Instructions

Pledge Confirmation

Auto-Release Maintenance

PLEDGE CONFIRMATION

LAS Request ID 1000770 Pledgee DP ID IN006164 Pledgor Client First Holder Name(10101985)

LAS Request Status Pending for Pledgor DP Confirmation Pledgee Client First Holder Name(10003891) Auto-Release  (Direct / Indirect)

	ISIN	Scrip Name	Quantity to be Pledged	Status
<input checked="" type="checkbox"/>	INE019C01026	HIMADRI CHEM. DHARMIK DAGLI EQUITY TESTING	1	Pending for Pledgor DP Confirmation
<input type="checkbox"/>	INE022I01019	ASIAN GRANITO INDIA LIMITED EQ	1	Pending for Pledgor DP Confirmation

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First Previous 1 Next Last Refresh

Back Reject Approve

6. Further, User of the Pledgor DP can enter additional remarks in 'Remarks' checkbox if any, at the time of confirmation/rejection.

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Third Party LAS

Authorize Instructions

Pledge Confirmation

Auto-Release Maintenance

PLEDGE CONFIRMATION

LAS Request ID 1000770 Pledgee DP ID IN006164 Pledgor Client First Holder Name(10101985)

LAS Request Status Pending for Pledgor DP Confirmation Pledgee Client First Holder Name(10003891) Auto-Release  (Direct / Indirect)

	ISIN	Scrip Name	Quantity to be Pledged	Status
<input checked="" type="checkbox"/>	INE019C01026	HIMADRI CHEM. DHARMIK DAGLI EQUITY TESTING	1	Pending for Pledgor DP Confirmation
<input type="checkbox"/>	INE022I01019	ASIAN GRANITO INDIA LIMITED EQ	1	Pending for Pledgor DP Confirmation

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Back Reject Approve

Remarks

Approved

Submit

7. Post entering remarks, if any, User of the Pledgor DP will click on 'Submit' button for confirming (approve) / rejecting (reject) the pledge instruction.

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Third Party LAS

Authorize Instructions  
 Pledge Confirmation  
 Auto-Release Maintenance

LAS Request ID: 1000770 Pledgee DP ID: IN006164 Pledgor Client: First Holder Name(10101985)  
 LAS Request Status: Pending for Pledgor DP Confirmation Pledgee Client: First Holder Name(10003891) Auto-Release:  (Direct / Indirect)

	ISIN	Script Name	Quantity to be Pledged	Status
<input checked="" type="checkbox"/>	INE019C01026	HIMADRI CHEM. DHARMIK DAGLI EQUITY TESTING	1	Pending for Pledgor DP Confirmation
<input type="checkbox"/>	INE022I01019	ASIAN GRANITO INDIA LIMITED EQ	1	Pending for Pledgor DP Confirmation

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Back Reject Approve

Remarks

Submit

- On clicking the 'Submit' button, the pledge instruction will be approved for further process / status changes.

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Third Party LAS

Authorize Instructions  
 Pledge Confirmation  
 Auto-Release Maintenance

PLEDGE CONFIRMATION

LAS Request ID: 1000770 Pledgee DP ID: IN006164 Pledgor Client: First Holder Name(10101985)  
 LAS Request Status: Partially processed by DP Pledgee Client: First Holder Name(10003891) Auto-Release:  (Direct / Indirect)

	ISIN	Script Name	Quantity to be Pledged	Status
<input checked="" type="checkbox"/>	INE019C01026	HIMADRI CHEM. DHARMIK DAGLI EQUITY TESTING	1	Approved by DP
<input type="checkbox"/>	INE022I01019	ASIAN GRANITO INDIA LIMITED EQ	1	Pending for Pledgor DP Confirmation

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Back Reject Approve

Pledge Instructions have been approved

- User of the Pledgor DP can click on the 'Auto-Release Maintenance' tab, to view and re-set the 'Auto-Release' flag for such demat accounts.

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Third Party LAS

Authorize Instructions PLEDGE CONFIRMATION

Pledge Confirmation

Auto-Release Maintenance

Demat account	Client Name	Auto-release (Direct/Indirect)
IN879515-10101944	First Holder Name	<input checked="" type="checkbox"/>
IN879515-10101977	First Holder Name	<input checked="" type="checkbox"/>
IN879515-10101985	First Holder Name	<input checked="" type="checkbox"/>

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Close Edit

10. Further, the User of the Pledgor DP will also have the ability to click on the 'Edit' button to un-tick (disable) the 'Auto-Release' flag for the requisite DP ID and Client ID.

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Third Party LAS

Authorize Instructions PLEDGE CONFIRMATION

Pledge Confirmation

Auto-Release Maintenance

Demat account	Client Name	Auto-release (Direct/Indirect)
IN879515-10101944	First Holder Name	<input checked="" type="checkbox"/>
IN879515-10101977	First Holder Name	<input checked="" type="checkbox"/>
IN879515-10101985	First Holder Name	<input type="checkbox"/>

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First Previous 1 Next Last Refresh

Close Save

Note: In case User of the Pledgor DP un-checks or disables the 'Auto-Release' flag for a particular Client (DP ID-Client ID), then any TPLAS instruction(s) initiated by such Client(s) will required to be manually confirmed by the User of Pledgor DP.

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